

# Statistical Analysis of Non-Deterministic Fork-Join Processes

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Martin Pépin

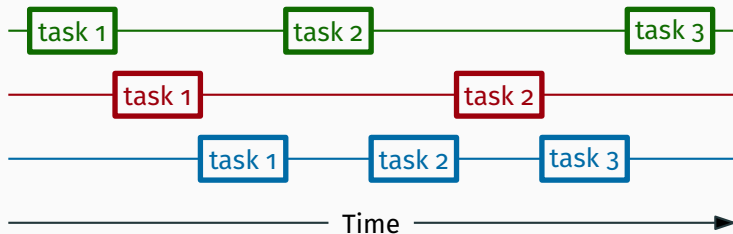
Joint work with Antoine Genitrini & Frédéric Peschanski

Accepted for publication at ICTAC'20

October 13, 2020

Sorbonne Université — LIP6 — Paris

# What is concurrency?



One computation unit shared by several processes:

- Possible dependencies between processes
- Scheduling

## Why is it difficult?

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- Many possible schedulings: combinatorial explosion

## Why is it difficult?

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**“all schedulings”** → Combinatorics!

- Many possible schedulings: combinatorial explosion
- Can we (efficiently) count them?
- Can we (efficiently) sample among them?

## Why is it difficult? (2)

### Negative result (Brightwell & Winkler '91)

Counting the linear extensions of a partial order is a  $\#-P$  complete problem.

I.e. it is as hard as counting the number of solutions in SAT.

## Why is it difficult? (2)

### Negative result (Brightwell & Winkler '91)

Counting the linear extensions of a partial order is a  $\#-P$  complete problem.

I.e. it is as hard as counting the number of solutions in SAT.

So we cannot count efficiently... in the **general** case.  
But we can have some restrictions on the programs.

## “Quantitative and algorithmic aspects of concurrency”

- > Olivier Bodini, Matthieu Dien, Antoine Genitrini, MP, Frédéric Peschanski, ...
- > Identify **fundamental** components of concurrency and **interpret** them as combinatorial objects
- > Algorithmic solutions for the **counting** and **sampling** problems
- > Analytical results (when possible)



# Outline

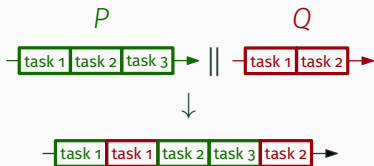
A class of concurrent programs

Algorithmic aspects

Conclusion and perspective

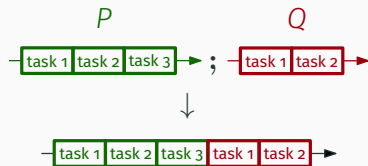
# Fork-Join parallelism

## Parallel composition



Execution = any interleaving of an execution of  $P$  and an execution of  $Q$ .

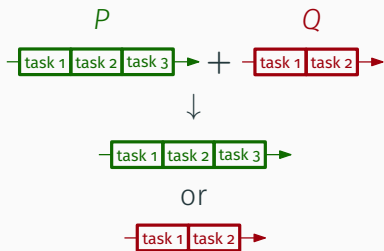
## Sequential composition



Execution = an execution of  $P$  followed by an execution of  $Q$ .

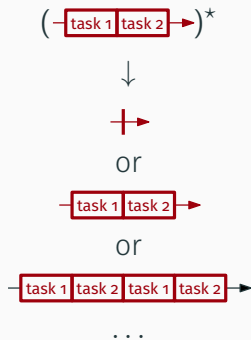
# Non-determinism and loops

## Non-deterministic choice



Execution = an execution of  $P$   
or an execution of  $Q$ .

## Loop



Execution = sequence of  
executions of  $Q$

# Non-deterministic Fork-Join programs (NFJ)

$P, Q ::= P \parallel Q$  (parallel composition)  
|  $P; Q$  (sequential composition)  
|  $P + Q$  (non-deterministic choice)  
|  $P^*$  (loop)  
|  $a$  (atomic action)  
|  $0$  (empty program)

# Combinatorial interpretation

Define the executions of  $P$  as a combinatorial class  $\llbracket P \rrbracket$ :

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$$\llbracket P + Q \rrbracket_{\neq 0} = \llbracket P \rrbracket_{\neq 0} + \llbracket Q \rrbracket_{\neq 0} \quad \bullet$$

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- Labelled and unlabelled operators in the same grammar;
- ⚠  $\llbracket P \rrbracket, \llbracket Q \rrbracket$  might contain the empty execution;
- $\llbracket P \rrbracket_{\neq 0}$  = non-empty executions of  $P$ .

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# Counting executions

Algorithm:  $P \xrightarrow{\text{prev. slide}} \llbracket P \rrbracket \xrightarrow{\text{symbolic method}} GF \xrightarrow{[z^n]} \text{count}$

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$$\text{COUNT}(0) = 1$$

$$\text{COUNT}(a) = z$$

$$\text{COUNT}(P \parallel Q) = p(z) \odot q(z)$$

$$\text{COUNT}(P; Q) = p(z) \cdot q(z)$$

$$\text{COUNT}(P + Q) = p(z) + q(z) - p(0)q(0)$$

$$\text{COUNT}(P^*) = (1 - (p(z) - p(0)))^{-1}$$

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All operation are  
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$$p(z) = \text{COUNT}(P)$$

$$q(z) = \text{COUNT}(Q)$$

$$\bullet p(z) \odot q(z) = \mathcal{L}(\mathcal{B}(p(z)) \cdot \mathcal{B}(q(z)))$$

$$\text{where } \mathcal{L}\left(\sum_n \frac{a_n}{n!} z^n\right) = \sum_n a_n z^n \text{ and } \mathcal{B}\left(\sum_n a_n z^n\right) = \sum_n \frac{a_n}{n!} z^n$$

## Theorem

The counting algorithm performs  $O(|P|M(n))$  arithmetic operations on big integers.

The coefficients of the polynomial have  $O(n \ln n)$  bits.

- $|P|$  is the syntactic size of  $P$ .
- $M(n)$  is the cost of the multiplication of two polynomials of degree  $n$ .

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$\implies O(|P|M(n)M(n \ln n))$  bit-complexity.



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Rule:

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Rule:  $P_n = Q_0 R_0 \binom{n}{0} + Q_1 R_{n-1} \binom{n}{1} + Q_2 R_{n-2} \binom{n}{2} + \dots$   
Pick  $k \in \llbracket 0; n \rrbracket$  with probability  $Q_k R_{n-k} \binom{n}{k} / P_n$

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$$1 \cdot 0 \cdot \binom{3}{0} + 2 \cdot 2 \cdot \binom{3}{1} + 4 \cdot 1 \cdot \binom{3}{2} + 8 \cdot 0 \cdot \binom{3}{3} = 24 \cdot (0 + 1/2 + 1/2 + 0)$$

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*dae*

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## Random sampling of executions

$$P_n = Q_0R_n + Q_1R_{n-1} + Q_2R_{n-2} + \cdots + Q_nR_0$$

How to draw  $k \in \llbracket 0; n \rrbracket$  with probability  $Q_kR_{n-k}/P_n$ ?

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## Solution 1:

Draw  $x \sim \text{UNIF}(\llbracket 0; P_n \rrbracket)$  and take the minimum  $k$  such that  $x < Q_0R_n + Q_1R_{n-1} + \cdots + Q_kR_{n-k}$ .

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## Solution 2 (boustrophedonic alg., [FZC'93, Molinero'05]):

Draw  $x \sim \text{UNIF}(\llbracket 0; P_n \rrbracket)$  and take the minimum  $k$  such that  $x < Q_0R_n + Q_nR_0 + Q_1R_{n-1} + Q_{n-1}R_1 + Q_2R_{n-2} + \dots$  ( $k$  terms).

# Random sampling of executions — complexity

## Theorem [FZC'93,Moliner0'05]

The recursive method with solution 2 has complexity  $O(n \ln n)$ .

## Theorem [Moliner0'05]

The recursive method has complexity  $O(n)$  on recursion-free specifications.

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The constant hidden in the  $O$  depends on the specification!

# Random sampling of executions — complexity

## Theorem [FZC'93,Moliner05]

The recursive method with solution 2 has complexity  $O(n \ln n)$ .

## Theorem (updated)

The recursive method has complexity  $O(h \cdot n)$  on recursion-free specification, where  $h$  is the number of nested operators of the spec.



The constant hidden in the  $O$  depends on the specification!

## Theorem

Random sampling of executions has complexity  $O(n \cdot \min(h(P), \ln n))$  where  $h$  denotes the “height” of  $P$  i.e. its maximum number of nested constructors.

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Take away:

- Specifications can be used as first-class objects

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## Future work:

- Generalize the model
- Analytic properties of the OGF of  $\llbracket P \rrbracket$ ?
- Statistical model-checking

Thanks for your attention